



2 Influence of sea ice cover and icebergs 3 on circulation and water mass formation in a 4 numerical circulation model of the Ross Sea, 5 Antarctica

6 M. S. Dinniman,¹ J. M. Klinck,¹ and W. O. Smith Jr.²

7 Received 29 November 2006; revised 29 May 2007; accepted 26 July 2007; published XX Month 2007.

8 [1] Satellite imagery shows that there was substantial variability in the sea ice extent in
9 the Ross Sea during 2001–2003. Much of this variability is thought to be due to several
10 large icebergs that moved through the area during that period. The effects of these changes
11 in sea ice on circulation and water mass distributions are investigated with a numerical
12 general circulation model. It would be difficult to simulate the highly variable sea ice from
13 2001 to 2003 with a dynamic sea ice model since much of the variability was due to the
14 floating icebergs. Here, sea ice concentration is specified from satellite observations. To
15 examine the effects of changes in sea ice due to iceberg C-19, simulations were performed
16 using either climatological ice concentrations or the observed ice for that period. The heat
17 balance around the Ross Sea Polynya (RSP) shows that the dominant term in the surface
18 heat budget is the net exchange with the atmosphere, but advection of oceanic warm water
19 is also important. The area average annual basal melt rate beneath the Ross Ice Shelf is
20 reduced by 12% in the observed sea ice simulation. The observed sea ice simulation also
21 creates more high-salinity shelf water. Another simulation was performed with observed
22 sea ice and a fixed iceberg representing B-15A. There is reduced advection of warm surface
23 water during summer from the RSP into McMurdo Sound due to B-15A, but a much
24 stronger reduction is due to the late opening of the RSP in early 2003 because of C-19.

25 **Citation:** Dinniman, M. S., J. M. Klinck, and W. O. Smith Jr. (2007), Influence of sea ice cover and icebergs on circulation and water
26 mass formation in a numerical circulation model of the Ross Sea, Antarctica, *J. Geophys. Res.*, *112*, XXXXXX,
27 doi:10.1029/2006JC004036.

29 1. Introduction

30 [2] There have been dramatic interannual changes in the
31 sea ice cover over parts of the Ross Sea in recent years. In
32 coastal seas around Antarctica, much of the interannual
33 variability in the observed sea ice has been postulated to be
34 a result of large-scale environmental effects such as the
35 Antarctic Circumpolar Wave [White and Peterson, 1996],
36 the multidecadal warming in the Antarctic Peninsula
37 [Vaughan *et al.*, 2003], ENSO [Yuan and Martinson,
38 2000], and the Southern Annular Mode [Hall and Visbeck,
39 2002]. In the Ross Sea however, much of the recent (2000–
40 2004) variability in sea ice extent is thought to be due to
41 several large icebergs that have calved off the Ross Ice Shelf
42 and moved through the area [Arrigo and van Dijken, 2003].
43 In March 2000 the iceberg B-15 was formed and a large
44 fragment, B-15A (≈ 6400 km² in surface area and 160 km
45 long), grounded near the east end of Ross Island in January

2001. Another smaller iceberg (C-16) that had been dis- 46
lodged from the ice shelf by B-15 had previously grounded 47
along Ross Island in November 2000. These two icebergs 48
remained at their respective locations (Figure 1) until 49
October 2003 when B-15A began to break up. Throughout 50
the period when both icebergs were grounded, substantial 51
changes were observed in ice concentration and distribution 52
in the Ross Sea. Presumably, ocean circulation, primary 53
production, and other aspects of the coastal ecosystem were 54
altered as well [Arrigo *et al.*, 2002; Smith *et al.*, 2006]. In 55
May 2002 the iceberg C-19 (≈ 32 km wide and 200 km 56
long) calved off the Ross Ice Shelf and started drifting 57
northward. Between December 2002 and February 2003, 58
C-19 rotated in place near Pennell Bank (Figure 2) and by 59
April had begun to move northward out of the Ross Sea. 60
Satellite imagery shows that during the austral summer of 61
2002–2003 there was unusually high ice cover in the 62
southwestern Ross Sea, and this was likely due at least in 63
part to the restricted advection of sea ice away from the 64
Ross Ice Shelf due to the presence of C-19 [Arrigo and van 65
Dijken, 2003]. 66

[3] Several different water masses (using definitions from 67
Carmack [1977] and repeated by Jacobs and Giulivi 68
[1999]) can be found on the continental shelf in the Ross 69
Sea. Ice Shelf Water (ISW) has temperatures below the 70

¹Center for Coastal Physical Oceanography, Old Dominion University, Norfolk, Virginia, USA.

²Virginia Institute of Marine Science, College of William and Mary, Gloucester Point, Virginia, USA.

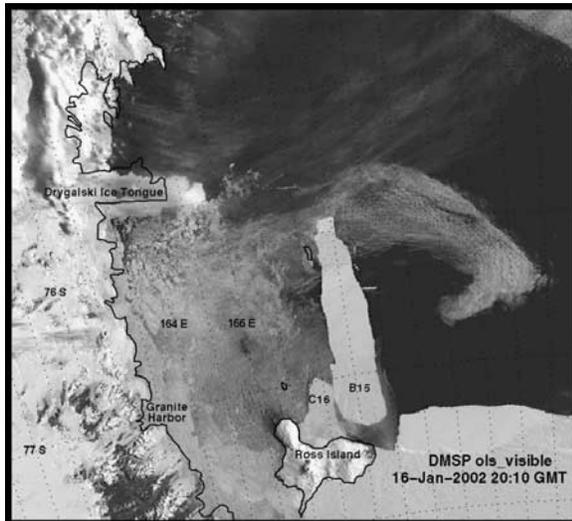


Figure 1. DMSPP satellite image showing Ross Island, iceberg B-15A and iceberg C-16 on 16 January 2002. Image courtesy Raytheon Polar Services.

71 surface freezing point and is created by water in contact
 72 with the ice shelf at depth. Subfreezing temperatures occur
 73 because the in situ freezing temperature in seawater
 74 decreases with increasing pressure. This water emerges
 75 from beneath the Ross Ice Shelf primarily in the west-
 76 central part of the continental shelf. High-salinity shelf
 77 water (HSSW) is very dense water often defined by salinities
 78 greater than 34.6 psu and temperatures at or near the surface
 79 freezing point. HSSW is found in the southwestern Ross Sea
 80 and is formed by brine release in coastal polynyas on the
 81 shelf [Jacobs and Giulivi, 1998; VanWoert, 1999]. Export of
 82 this water from the shelf is thought to be important in the
 83 formation of Antarctic Bottom Water [Jacobs et al., 1985;
 84 Orsi et al., 1999; Gordon et al., 2004]. Circumpolar Deep
 85 Water (CDW) is a relatively warm, salty, and nutrient-rich
 86 water mass, originally created in the North Atlantic, that is
 87 the most voluminous water mass of the Southern Ocean. At
 88 the shelf break, CDW flows onto the continental shelf at
 89 middepth through episodic but persistent intrusions at
 90 specific locations due to bottom topography [Dinniman et
 91 al., 2003]. This water mixes on the shelf to become
 92 modified CDW (MCDW), which has temperatures of 1.0
 93 to -1.5°C , is an important source of heat and nutrients onto
 94 the continental shelf [Budillon et al., 2000; Dinniman et al.,
 95 2003] and has important effects on several different physical
 96 (including ice cover [Jacobs and Comiso, 1989; Fichefet
 97 and Goosse, 1999]) and biological (such as productivity
 98 [Peloquin and Smith, 2007]) processes.

99 [4] Large icebergs could have a number of effects on
 100 ocean and sea ice conditions. The most obvious is a block-
 101 ing effect on the motion of sea ice. A more subtle blocking
 102 effect is due to the strong control of topography on
 103 circulation which would change subsurface water motion
 104 and affect heat, salt, and other advective fluxes. Two mixing
 105 effects are produced by a large iceberg. Relative motion of
 106 the ice and water create turbulence which would lead to
 107 mixing in the wake of the iceberg. If the iceberg is thick
 108 enough to penetrate the permanent pycnocline, then deep

melting on the berg could produce a secondary circulation, 109
 similar to that created by an ice shelf, which would provide 110
 a vertical transport of water and associated properties. 111

[5] It would be difficult to accurately simulate the highly 112
 variable sea ice concentrations from 2001 to 2003 with just 113
 a dynamic sea ice model since much of the variability was 114
 due to the effects of the icebergs in the area. However, a 115
 model with imposed sea ice and icebergs could be used to 116
 study the oceanic response. In this study a high-resolution 117
 (5 km) primitive equation general circulation model of the 118
 Ross Sea (including the cavity underneath the Ross Ice 119
 Shelf) is used to examine the effects of the icebergs and sea 120
 ice on the circulation and water masses in the Ross Sea. We 121
 found that the large icebergs had significant effects on 122
 oceanic circulation on the continental shelf. 123

2. Methods 124

[6] The Ross Sea circulation model is based on the 125
 Rutgers/UCLA Regional Ocean Model System (ROMS) 126
 [Shepelin and McWilliams, 2005]. This model is similar 127
 to the one described by Dinniman et al. [2003]; only the 128
 major features and differences from the previous model will 129
 be discussed here. The primary difference is that the model 130
 domain has been extended southward to include the water- 131
 filled cavity beneath the Ross Ice Shelf (RIS). The model 132
 domain (Figure 3) extends from well north (67.5°S) of the 133
 continental shelf break southward to 85°S , which includes 134
 almost all of the cavity beneath the RIS. The horizontal grid 135
 spacing is 5 km and there are 24 vertical levels whose 136
 thickness varies with the water column depth but are 137
 concentrated towards the top and bottom surfaces. For 138
 example, for a typical shelf water column thickness of 139
 500 m, the top layer is 4.97 m thick, the bottom layer is 140
 6.32 m thick, and the maximum thickness in the middle is 141
 40.47 m. 142

[7] Two bathymetric surfaces must be defined for this 143
 model: the bottom of the water column and, where neces- 144
 sary, the draft below mean sea level of the ice shelf 145
 (Figure 4). Both of these were obtained from the BEDMAP 146
 gridded digital model of ice thickness and subglacial 147
 topography for Antarctica [Lythe et al., 2001]. The sea 148
 floor topography in the BEDMAP model is derived from 149
 the Smith and Sandwell [1997] ETOPO2 global 2 min 150

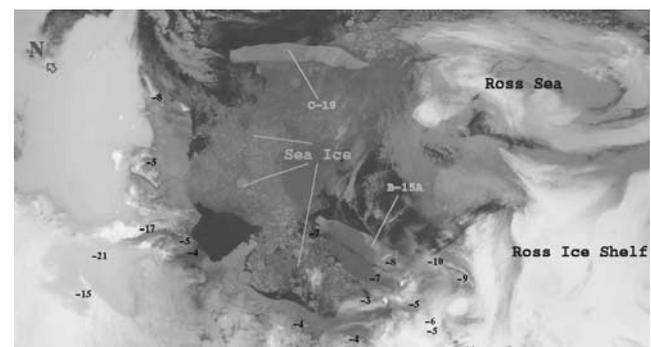


Figure 2. Satellite image showing icebergs B-15A and C-19 on 16 January 2003. The numbers represent Automated Weather Station air temperatures ($^{\circ}\text{C}$). Image courtesy of AMRC-University of Wisconsin.

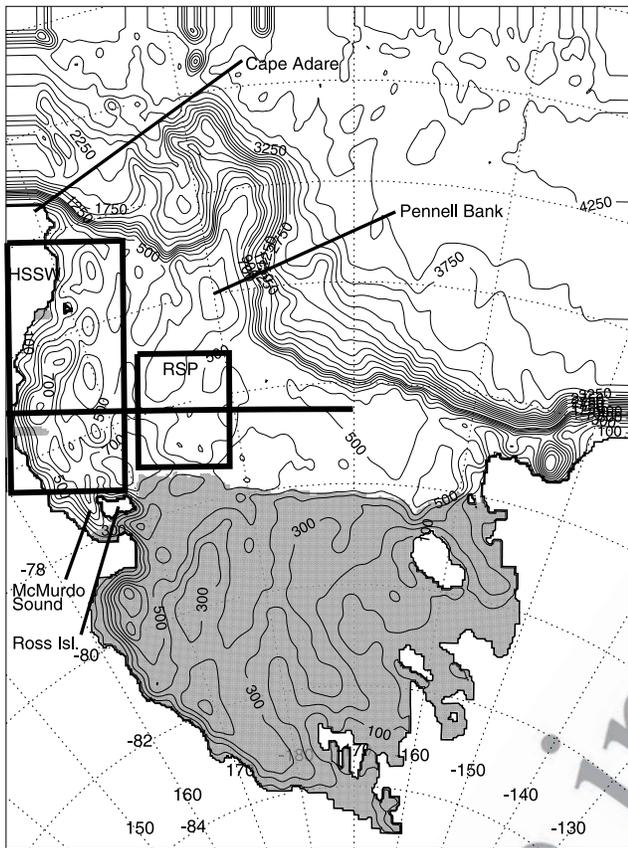


Figure 3. Domain for the Ross Sea Model. The contour interval for the bathymetry is 100 m up to 1000 m depth and 250 m deeper than 1000 m. The shaded areas represent the extent of the ice shelf. The contours below the ice shelf represent water column thickness. The box labeled RSP represents an area around the Ross Sea Polynya that will be discussed in section 3.1.2. The box labeled HSSW represents an area of HSSW formation in the western Ross Sea that will be discussed in section 3.1.4. The horizontal line represents the cross section in Figure 5.

151 resolution bathymetry and (south of 72°S) the 1/12°
 152 ETOPO5 bathymetry [National Geophysical Data Center
 153 (NGDC), 1988]. Both surfaces were slightly smoothed with
 154 a modified Shapiro filter which was designed to selectively
 155 smooth areas where the changes in the ice thickness or
 156 bottom bathymetry are large with respect to the total depth
 157 [Wilkin and Hedström, 1998]. The position of the RIS front
 158 was forced to remain constant during the smoothing.

159 [8] Initial fields of temperature and salinity are computed
 160 from the World Ocean Atlas 2001 (WOA01), and the values
 161 in the Ross Sea just north of the RIS are extrapolated
 162 southward to represent the initial conditions underneath
 163 the RIS. Open boundaries for all runs are handled as in
 164 the work of Dinniman *et al.* [2003] except that the
 165 temperature and salinity used for the adaptive nudging
 166 on the boundaries is now from WOA01. Vertical momen-
 167 tum and tracer mixing were handled using the K profile
 168 parameterization (KPP) mixing scheme [Large *et al.*, 1994]
 169 with the small changes for sea ice given by Dinniman *et al.*
 170 [2003]. Daily values of wind stress and wind speed were

obtained from a blend of QuikSCAT scatterometer data and
 NCEP analyses [Milliff *et al.*, 2004] to give a repeatable
 cycle over a 2 a (2000 and 2001) period. The blended winds
 are distributed on a 1/2° grid. However, there is very little
 scatterometer data in the blended winds in our area and the
 effective wind resolution is that of the underlying NCEP
 analyses (about 2.0°).

2.1. Sea Ice and Open Water

[9] In place of a fully dynamic sea ice model, ice
 concentrations from a climatology derived from the Special
 Sensor Microwave Imager (SSM/I) are imposed. The model
 surface heat flux is calculated as a linear combination of
 heat flux due to ice cover and the open water heat flux with
 the ratio determined by the ice concentration in that grid cell
 [Markus, 1999]. The open water heat flux was calculated
 with the COARE version 2.0 bulk flux algorithm [Fairall *et al.*,
 1996] with most of the necessary atmospheric data
 coming from monthly climatologies from either the
 ECMWF (ERA-40) reanalysis (air pressure, humidity, and
 air temperature) or the ISCCP cloud climatology. Daily
 winds were used for the open water heat flux calculation.
 The shortwave solar radiation was computed using the
 model of Zillman [1972] with the cloud cover correction
 algorithm of Antoine *et al.* [1996] and an assumed oceanic
 albedo of 0.10. The model surface fresh water flux (imposed
 as a salt flux) is also calculated as a linear combination of
 open water evaporation minus precipitation and that due to
 ice melting or freezing [Markus, 1999]. The frazil ice term
 contribution to the salt flux in the work of Markus [1999]
 that was removed from the calculation in the work of
 Dinniman *et al.* [2003] has been restored but only when
 the temperature in the top layer is below the surface freezing
 point. This was important in simulating the high salinities
 on the far western continental shelf. The only relaxation
 term in the surface forcing was a very weak (relaxation
 timescale of 3 a) restoration of the surface salinity to the
 monthly WOA01 values. Additional details are given by
 Dinniman *et al.* [2003].

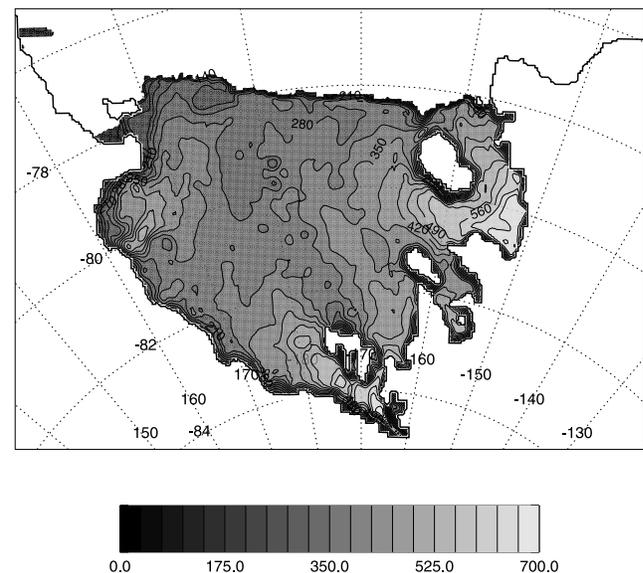


Figure 4. Model ice shelf draft below mean sea level (m).

t1.1 **Table 1.** Constants in Ice Shelf Flux Equations

| t1.2 | Parameter | Value |
|------|---|--|
| t1.3 | ρ_i (ice density) | 930 kg m ⁻³ |
| t1.4 | L (latent heat of fusion) | 3.34×10^5 J kg ⁻¹ |
| t1.5 | c_{pi} (specific heat capacity of ice) | 2000 J (kg °C) ⁻¹ |
| t1.6 | c_{pw} (specific heat capacity of sea water at 0°C) | 4000 J (kg °C) ⁻¹ |
| | γ_T (turbulent exchange coefficient for heat) | 10^{-4} m s ⁻¹ |
| t1.7 | γ_S (turbulent exchange coefficient for salt) | [<i>Hellmer and Olbers, 1989</i>] 5.05×10^{-7} m s ⁻¹ |
| t1.8 | | [<i>Hellmer and Olbers, 1989</i>] |

209 **2.2. Ice Shelf**

210 [10] The thickness and extent of the ice shelf do not
 211 change over the time covered by the model. Under the ice,
 212 the upper boundary of the model is no longer at sea level but
 213 conforms to the ice shelf base. The pressure gradient force
 214 calculation [*Shchepetkin and McWilliams, 2003*] accounts
 215 for the possibility that the top surface of the water may have
 216 a significant slope due to the ice shelf. The hydrostatic
 217 pressure at the base of the ice shelf is computed by
 218 assuming that the ice is in isostatic equilibrium. This
 219 pressure can be calculated by the integral over depth (from
 220 mean sea level to the base of the ice shelf) of the density of
 221 the water replaced by the ice. Instead of assuming that the
 222 density in the integral can be approximated by the density at
 223 the first level of the ocean model directly beneath the ice
 224 [e.g., *Grosfeld et al., 1997*] or a constant average density for
 225 every location [e.g., *Beckmann et al., 1999*], the density at
 226 the first level of the ocean model [$\rho(top)$] minus an assumed
 227 constant linear dependence of the density with depth ($\partial\rho/\partial z$)
 228 is used to give a pressure of:

$$P = g \left(\rho(top) - 0.5 \frac{\partial\rho}{\partial z} H_i \right) H_i \quad (1)$$

230 where g is the gravitational acceleration and H_i is the ice
 231 shelf draft. The change in density with pressure for water
 232 near freezing and salinities in the range 34.0–35.0 psu is
 233 relatively constant for the first few hundred meters from the
 234 surface and an average over this range ($\partial\rho/\partial z = 4.78 \times$
 235 10^{-3} kg m⁻⁴) was used.

236 [11] Below the ice shelf the atmospheric contributions to
 237 the momentum and buoyancy flux are set to zero. Friction
 238 between the ice shelf and the water is computed as a
 239 quadratic stress with a coefficient of 3.0×10^{-3} (nondi-
 240 mensional) and is applied as a body force over the top three
 241 ocean levels.

242 [12] At the ocean-ice shelf interface, a parameterization
 243 with a viscous sublayer model is used with three equations
 244 representing the conservation of heat, the conservation of
 245 salt and a linearized version of the freezing point of sea
 246 water as a function of salinity and pressure. The free
 247 variables are T_b , which is the temperature at the ice shelf
 248 base, S_b , which is the salinity at the ice shelf base, and $\frac{\partial h}{\partial t}$,
 249 which is the melting (<0) or freezing (>0) rate (m s⁻¹).

$$\rho_i(L - c_{pi}\Delta T) \frac{\partial h}{\partial t} = \rho c_{pw} \gamma_T (T_b - T_w) \quad (2)$$

[13] Equation (2) represents the conservation of heat 252
 across the ocean-ice shelf boundary where ρ_i is the average 253
 ice density (Table 1), L is the latent heat of fusion, c_{pi} is the 254
 specific heat capacity of ice, ΔT is the temperature differ- 255
 ence between the ice shelf interior (assumed to be the 256
 minimum of -1.95°C or the air temperature above the ice 257
 shelf) and the freezing temperature at the ice shelf base (set 258
 here to be -1.95°C), ρ is density of the water in the mixed 259
 layer, c_{pw} is the heat capacity of sea water at 0°C , γ_T is the 260
 turbulent exchange coefficient for heat and is chosen to be a 261
 constant and T_w is the water temperature in the uppermost 262
 grid box. 263

$$\rho_i S_b \frac{\partial h}{\partial t} = \rho \gamma_S (S_b - S_w) \quad (3)$$

[14] Equation (3) represents the conservation of salt 266
 across the ocean-ice shelf boundary where γ_S is the turbu- 267
 lent exchange coefficient for salt and is also chosen to be a 268
 constant and S_w is the salinity in the uppermost grid box. 269

$$T_b = 0.0939 - 0.057 S_b + 7.6410 \times 10^{-4} h \quad (4)$$

[15] Equation (4) is a linearized version of the equation 272
 for the freezing point of sea water [*Foldvik and Kvinge,* 273
 1974], where h is the depth below mean sea level. 274

[16] These equations can be solved simultaneously (using 275
 known mixed layer and ice properties) to calculate heat and 276
 freshwater (salt) fluxes into the ocean [*Hellmer et al., 1998;* 277
Holland and Jenkins, 1999]. This has been done previously 278
 for several simulations of the flow beneath ice shelves [e.g., 279
Beckmann et al., 1999; Timmermann et al., 2002; Holland 280
et al., 2003]. The calculation of the actual heat and salt 281
 fluxes into the top model layer of the ocean includes the 282
 “meltwater advection” terms for boundary conditions 283
 through a material surface that can be important in long 284
 simulations or with high basal melt rates [*Jenkins et al.,* 285
 2001]. 286

287 **2.3. Experiments**

[17] A spinup simulation starting in late austral winter 288
 (15 September) was run for 6 a. Three experiments (Table 2) 289
 were then performed to examine the effects of the differ- 290
 ences in the sea ice and the icebergs. In the first (the 291
 “CLMICE” simulation), the base simulation was continued 292
 (including using monthly climatological sea ice) but with 293
 daily wind speed and wind stress covering the time 15 Sep- 294
 tember 2001 to 15 September 2003. The second experiment 295
 (the “VARICE” simulation) is forced by the same winds as 296
 CLMICE but with imposed sea ice from the observed 297
 monthly concentration for the period September 2001 to 298
 September 2003. The final experiment (“ICEBERG”) uses 299
 the VARICE forcing with one large iceberg in the location 300
 and with the approximate combined area and volume of 301
 icebergs B-15A and C-16. This simulation also ran for 2 a 302
 (15 September 2001 to 15 September 2003). 303

[18] The iceberg is simply implemented in the model as 304
 another fixed ice shelf and is not advected into position over 305
 time. Experimental radar soundings of B-15A give an 306
 estimated range of thickness along the centerline of 200– 307
 270 m [*Blankenship et al., 2002*]. In the model, ice of 308
 constant draft (220 m) is imposed just east of Ross Island to 309

t2.1 **Table 2.** Model Experiments

| Experiment | Conditions |
|------------|--|
| CLMICE | Climatological sea ice, No B-15A |
| VARICE | Time varying sea ice (9/2001–9/2003), No B-15A |
| ICEBERG | Time varying sea ice (9/2001–9/2003), B-15A |

310 match the extent of B-15A and C-16, while another area of
 311 ice is removed from the ice shelf to represent the condition
 312 after iceberg C-19 calved. In grid cells where ice was added,
 313 the temperature and salinity surfaces from the spinup that
 314 are below the depth of the ice are reinterpolated vertically
 315 onto the new layer depths. In grid cells where ice was
 316 removed, the temperature and salinity in the new water
 317 “created” are initialized to be a constant mixed layer
 318 extrapolated upward from the third layer from the top of
 319 the spinup. The iceberg is only grounded laterally in the
 320 model, but there are locations underneath the iceberg where
 321 the water column is reduced by as much as 81%.

323 3. Results

324 3.1. Effects of Climatological Versus Observed Ice 325 Cover

326 3.1.1. Comparison of CLMICE Run to Observations

327 [19] Since there is no surface temperature relaxation in
 328 the model, comparing the model sea-surface temperature
 329 (SST) to satellite observations serves as a check on several
 330 processes, including open-water surface heat flux, heat
 331 transfer between the ice and the water, penetration of the
 332 solar heating below the surface, horizontal advection, and
 333 vertical mixing. This comparison was performed by
 334 *Dinniman et al.* [2003] but is recalculated due to the
 335 changes in the model. As before, a climatology of Advanced
 336 Very High Resolution Radiometer (AVHRR) satellite SST
 337 covering 1985–2000 averaged over 5-d periods [*Casey and*
 338 *Cornillon*, 1999] is interpolated onto the model grid and
 339 compared to model SST. Note though that there can be
 340 problems with the AVHRR Pathfinder SST at high latitudes
 341 [*Podestà et al.*, 2003]. A climatology of model SST was
 342 created from the 2 a of the CLMICE run. The model
 343 climatology was compared with the satellite climatology
 344 every 10 d at every grid point where AVHRR data are
 345 available (low or no sea ice) for the time period when
 346 AVHRR data are available for more than 90% of the nonice
 347 shelf model domain (late November through mid-March).
 348 The timing of the SST annual cycle in the model matches
 349 very well with observations. The average rms error for the
 350 model climatology over this period is 0.36°C with a
 351 maximum of 0.46°C in late January. The errors over the
 352 model grid are mostly compensated at any given time with
 353 only a small warm bias over the period of 0.20°C.

354 [20] Cross sections of salinity (Figure 5) in summer and
 355 early spring from 170°W to the coast from the CLMICE
 356 simulation show the seasonal cycle of HSSW formation in
 357 the southwestern part of the Ross Sea. A comparison with
 358 an observed cross section of salinity taken in February 1984
 359 (not shown) [see *Jacobs and Giulivi*, 1999, Figure 4b]
 360 shows good agreement with the highest salinity waters in
 361 the west and the middepth salinity contours (34.5 and 34.6)

being similar. There are some noticeable differences, with 362
 the principal one being that the model results are not quite 363
 salty enough in the deep trench against the coast of Victoria 364
 Land. Also, the shallow surface layer of fresh water in the 365
 model from 170°W to about 180°E is not observed, 366
 although this could be due to local conditions when the 367
 observations were made. However, the model appears to be 368
 doing a reasonable job of creating HSSW on the shelf. 369

[21] An earlier model study [*Dinniman et al.*, 2003] 370
 examined the dynamics of the intrusion of warm, salty 371
 CDW onto the shelf, but it is now possible to help validate 372
 the locations of the modeled intrusions with a high-resolution 373
 (5 km) annual climatology of temperature and salinity that 374
 has recently been developed for the Ross Sea [*Stover*, 375
 2006]. The mean temperature at 300 m for the CLMICE 376
 simulation (Figure 6) shows two primary locations along the 377
 shelf break where warm oceanic water intrudes onto the 378
 shelf at middepths: around Pennell Bank and a large region 379
 near 170°W. There are also two smaller regions in the model 380
 near 174°E and 160°W. While some of the details of the 381
 intrusions differ from the climatology, the mean locations of 382
 all four areas in the model match very well with the 383
 observed climatology. This shows that the model is also 384
 doing a reasonable job of simulating the intrusion of warm 385
 MCDW onto the shelf. 386

387 3.1.2. Ross Sea Polynya Heat Balance

[22] The Ross Sea Polynya is an area of reduced ice 388
 concentration surrounded by higher ice concentrations that 389
 is located along the Ross Ice Shelf. This polynya has an 390
 average area of 27,000 km² and is the largest polynya to 391
 regularly form around Antarctica [*Zwally et al.*, 1985; 392
Gloersen et al., 1992]. It usually becomes ice free in the 393
 early austral spring and then expands northward until it 394
 reaches the northern ice margin in January. The low sea ice 395

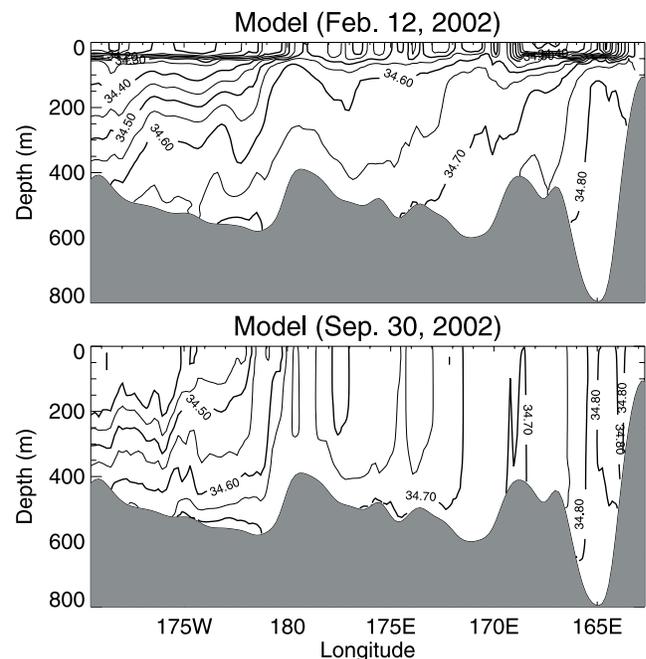


Figure 5. Cross section (see Figure 3) looking southward of model salinity (psu) in summer and early spring of 2002 for the CLMICE simulation.

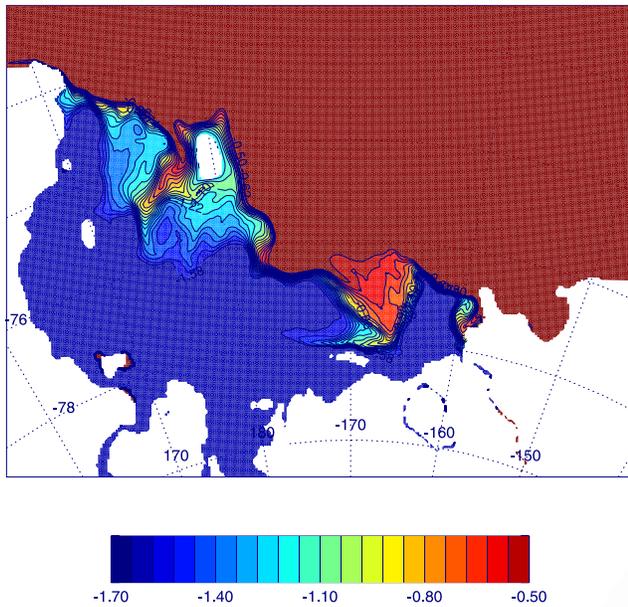


Figure 6. Average model temperature (°C) at 300 m for the CLMICE case. Note that the temperature scale is set so as to emphasize the intrusions of warm oceanic water onto the shelf.

396 in the polynya has been attributed to many processes,
 397 including southerly katabatic winds [Bromwich *et al.*,
 398 1998], upwelling of warm CDW [Jacobs and Comiso,
 399 1989], and combinations of the above [e.g., Fichefet and
 400 Goosse, 1999].

401 [23] Since this model imposes the sea ice, it is difficult
 402 to compute explicitly the relative importance of wind effects
 403 versus upwelling of warm water on the maintenance of the
 404 polynya. However, since the proper structure of the polynya
 405 is imposed, the SST's match observations, and we feel that
 406 at least some of the dynamics of the CDW intrusions are
 407 correct, it is instructive to look at the heat budget in the area.

408 [24] A heat budget (Figure 7) of the top 200 m of the
 409 water column for an area around the Ross Sea Polynya
 410 (Figure 3) for the VARICE simulation shows that during the
 411 summer of 2001–2002, the surface heat exchange is the
 412 predominant term in heating the water in early summer and
 413 cooling the water in the later part of the summer. However,
 414 even during the summer, the advection of heat into the area
 415 (which is always greater than zero) makes a contribution.
 416 Starting in April 2002, vertical diffusion of heat from below
 417 also brings a significant amount of heat into the upper water
 418 column. During the fall and winter, the total heat into the
 419 area is approximately zero with the surface loss terms
 420 balanced by the advection and diffusion of heat into the
 421 area. The following summer (2002–2003) had significantly
 422 more sea ice in the area of the RSP (22,500 km² in the
 423 defined area in mid-January versus a climatological value of
 424 2000 km²). This excessive sea ice led to much less surface
 425 and overall heating and thus advection of warmer water
 426 becomes a significant contributor to the heat budget even in
 427 summer. While the surface term was dramatically different
 428 in the heavy ice year and the vertical diffusion term (which
 429 depends on the temperature of the surface water) was

430 somewhat different, the advection term was not significantly
 431 affected by the difference in sea ice.

432 [25] Without a dynamic sea ice model, we cannot state
 433 what causes the surface heating term (which is strongly
 434 dependent on the imposed sea ice) to behave the way it
 435 does. The surface terms are the largest contributor to the
 436 summer heat budget. However, the advection and vertical
 437 diffusion of heat into the polynya area is an important part
 438 of the heat budget in the upper water column. Much of the
 439 heat that is diffused and advected from below (and a small
 440 portion of the heat that is advected laterally) is supplied to
 441 the shelf waters at depth through intrusions of CDW
 442 [Dinniman *et al.*, 2003], lending support to the idea that
 443 upwelling of relatively warm CDW does play some role in
 444 the appearance and maintenance of the Ross Sea Polynya.

3.1.3. Ice Shelf Basal Melting

445 [26] A two-dimensional picture (Figure 8) of the annual
 446 average basal melting for the second year of the CLMICE
 447 case shows the greatest melting primarily along the ice front
 448 and the northwestern edge of the cavity where warm surface
 449 waters flow into the cavity. There are also several locations
 450 of significant melting deep in the cavity mostly near the
 451 grounding line where the ice is very thick (Figure 4). Most
 452 of the freezing areas are in the central part of the ice shelf.
 453 The spatial pattern of the melting in the second year (not
 454 shown) of the VARICE simulation is very similar to the
 455

Heat Flux Terms (0-200m)

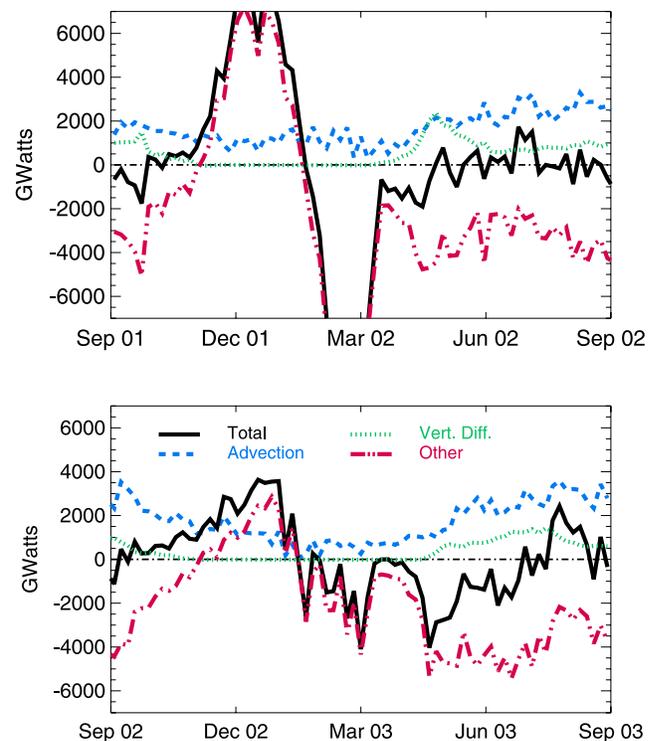


Figure 7. Heat flux term balance for the top 200 m of the water column in the RSP box in Figure 3 for the VARICE case. The “Other” term is the “Total” term minus the advection and vertical diffusion terms and is primarily surface heating/cooling.

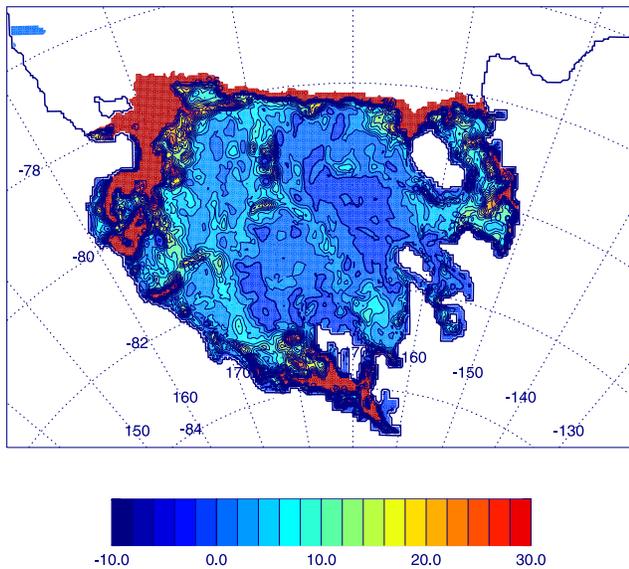


Figure 8. Annual basal melt rate (cm a^{-1}) September 2002 to September 2003 for the CLMICE simulation.

456 CLMICE case, except for some weaker melting just south
457 of Ross Island.

458 [27] The average melt rate over the entire base of the Ross
459 Ice Shelf has a seasonal cycle with increased melting during
460 the austral summer in both the VARICE and CLMICE
461 simulations (Figure 9). However, the higher ice cover
462 during the summer of 2002–2003 in the VARICE case
463 produces smaller basal melting in summer because there is
464 less warm surface water to advect underneath the shelf. The
465 annual average melt rate over both years of the CLMICE
466 case is 14.2 cm a^{-1} while it is reduced to 13.4 cm a^{-1} for
467 VARICE. For just the second year of the simulation, the
468 annual average melt rate for the CLMICE case is 14.8 cm a^{-1}
469 and is reduced to 13.0 cm a^{-1} for VARICE. Both of these are
470 at the low end of the range ($12\text{--}22 \text{ cm a}^{-1}$) of estimates for
471 the area-average basal melt rate for the RIS [Shabtaie and
472 Bentley, 1987; Lingle et al., 1991; Jacobs et al., 1992]. The
473 difference in basal melt leads to small but noticeable differ-
474 ences in the mean water temperature in the northern part of
475 the ice cavity and the volume of supercooled (colder than the
476 surface freezing point) water in the nonice shelf covered
477 McMurdo Sound area. There is not much difference between
478 the two simulations in the volume of ISW over the entire open
479 shelf. However, the simulations only ran for a few months
480 past the summer of 2002–2003 and this may not be long
481 enough to show differences in ISW creation and export to the
482 shelf.

483 3.1.4. HSSW Formation

484 [28] The volume-averaged salinity below 200 m for an
485 area (Figure 3) in the western Ross Sea for the two
486 simulations shows (Figure 10) a seasonal cycle of about
487 0.016 psu for the CLMICE simulation with only a slight
488 reduction (0.002 psu/a) in the mean annual salinity. The
489 annual average salinity below 200 m of the entire open shelf
490 only decreases by 0.001 psu/a . Note that Jacobs et al.
491 [2002] do estimate an average decrease of 0.003 psu/a in
492 the western Ross Sea from 1963 to 2000. The small
493 interannual variability for the CLMICE case is to be

494 expected as all forcing except the wind is climatological. 494
495 The strength of the annual cycle seems low when compared 495
496 to observations at a single point in the area (e.g., the 0.08 psu 496
497 range at 402 m and 0.04 psu range at 748 m measured in 497
498 Terra Nova Bay from February to December 1995 [Man- 498
499 zella et al., 1999]). However, the average is over a large 499
500 ($1.13 \times 10^5 \text{ km}^2$) area. The seasonal cycle of the volume 500
501 averaged mean salinity below 200 m for one model point in 501
502 Terra Nova Bay for the CLMICE simulation (not shown) is 502
503 0.081 psu .

504 [29] The average salinity for the VARICE case starts to 504
505 increase with respect to the CLMICE salinity in winter 505
506 2002. The difference rapidly increases to 0.012 psu by 506
507 November 2002 and then stays about the same through 507
508 March 2003. From March 2003 to the end of the simula- 508
509 tions the difference slowly increases to 0.017 psu and then 509
510 decreases to about 0.014 psu .

511 [30] The processes responsible for the difference in the 511
512 area average salinity are shown by the differences between 512
513 the two cases for each term in the salinity flux equation 513
514 (Figure 11). Below 200 m the most important process is 514
515 advection. Vertical diffusion (which includes winter con- 515
516 vection) is almost the same in both simulations except 516
517 during June to September 2002, where it is greater for the 517
518 VARICE simulation. The salinity increase in the area of 518
519 HSSW formation in the model during late 2002 is primarily 519
520 due to advection from outside the area, but the vertical 520
521 diffusion of salt does play a role. Since the only difference 521
522 between the two simulations is the imposed sea ice, and the 522

Avg. Basal Melt Rate

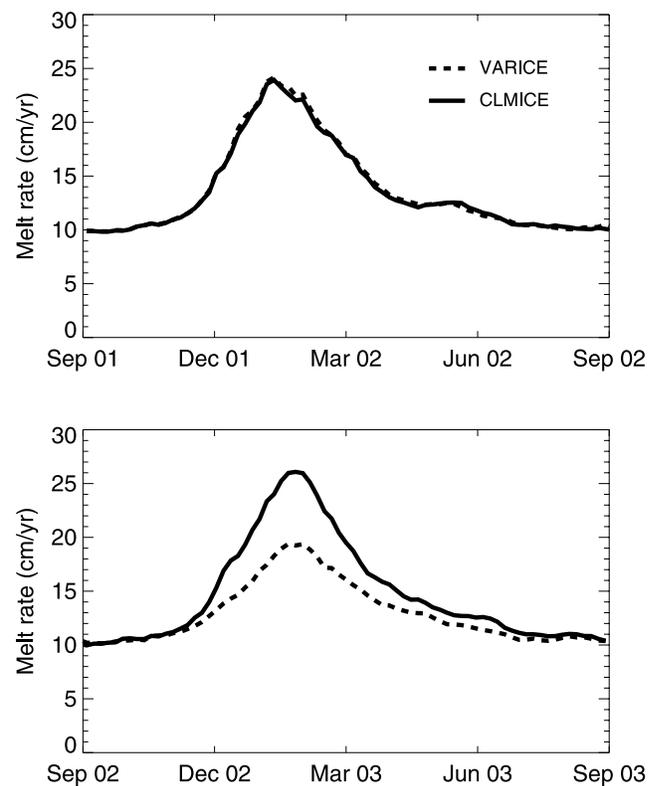


Figure 9. Time history of the average basal melt rate (cm a^{-1}) over the entire base of the Ross Ice Shelf.

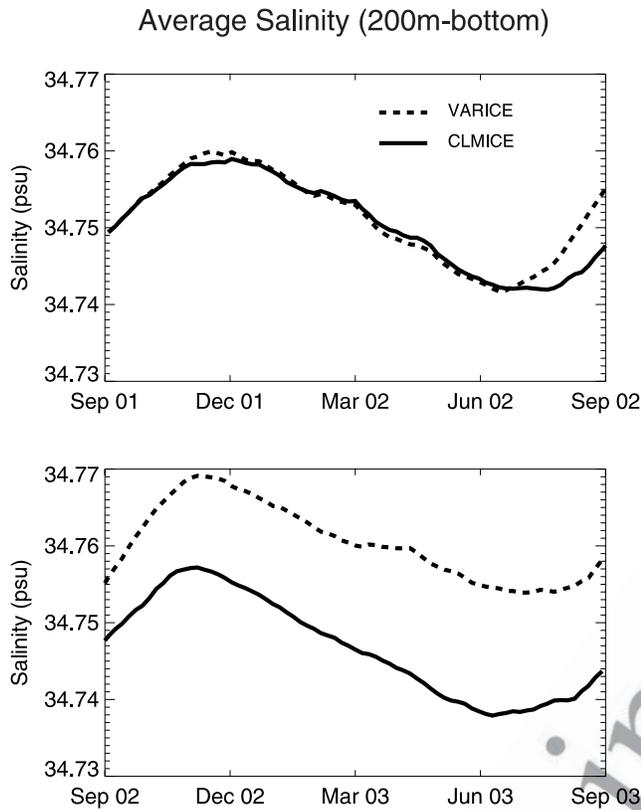


Figure 10. Average salinity (psu) below 200 m for the area defined by the HSSW box in Figure 3.

523 resultant changes in the surface salinity flux, the sea ice
 524 must be the cause of the extra salt advected from outside the
 525 area. The difference in surface salt flux over the defined area
 526 between the two simulations (not shown) shows an
 527 increased surface salt flux (or reduced fresh water flux)
 528 for the VARICE simulation at several times from September
 529 2001 through April 2002. This relative increase in salinity is
 530 “stored” in the surface mixed layer until the convection is
 531 strong enough to mix this water below 200 m.

532 [31] Thus the interannual difference in the imposed sea
 533 ice does have a noticeable effect on the high salinity shelf
 534 water formation in the western Ross Sea, although the sea
 535 ice directly above the formation region is not necessarily the
 536 most important contributor to the interannual changes in
 537 salinity in the deeper water. However, the great difference in
 538 the ice concentration in the austral summer of 2002–2003
 539 did not have a large effect on the HSSW creation in the
 540 model.

541 3.2. Influence of Iceberg B-15A

542 [32] An important feature of the long-term mean subtidal
 543 flow in McMurdo Sound is the current from the open Ross
 544 Sea north of Ross Island entering the east side of the sound
 545 and flowing southward [Heath, 1977]. This water is typi-
 546 cally warmer than the resident water and during austral
 547 summer this heat transport contributes to the consistent
 548 early season ice breakout observed in the east [Heath,
 549 1977; Mitchell and Bye, 1985]. Part of the southward flow
 550 continues underneath the ice shelf south of Ross Island
 551 (McMurdo Ice Shelf); the rest is deflected westward around

the sound and northward along the western boundary. 552
 Supercooled water from underneath the ice shelf also 553
 contributes to the northern flow on the west side of the 554
 sound [Heath, 1977; Lewis and Perkin, 1985]. Currents in 555
 the center of the sound are less well known, but there are 556
 indications of southerly flow that is greater at depth [Heath, 557
 1977], “mixed but slightly northward” flow [Barry and 558
 Dayton, 1988] or currents that result from a large anticy- 559
 clonic eddy in the eastern part of the sound [Lewis and 560
 Perkin, 1985]. 561

[33] The circulation in both the VARICE and CLMICE 562
 simulations matches the estimated average circulation. The 563
 summer surface circulation near Ross Island is somewhat 564
 variable due to strongly varying winds in the area. However, 565
 the mean summer surface circulation near Ross Island for 566
 the CLMICE simulation (Figure 12) has water from north of 567
 Ross Island turning southward into the eastern part of 568
 McMurdo Sound. Some of this flow continues under the 569
 ice shelf and then turns eastward south of Ross Island. 570
 There is also a return flow along the western edge of the 571
 sound that continues northward along Victoria Land. A 572
 northwestward current underneath the ice shelf contributes 573
 very cold water to the western side of the sound. The 574
 southward current in the central part of the sound may not 575
 be realistic, but the observations there are uncertain and this 576
 flow does not seem to have a large impact on the advection 577

Salinity Flux Term Differences

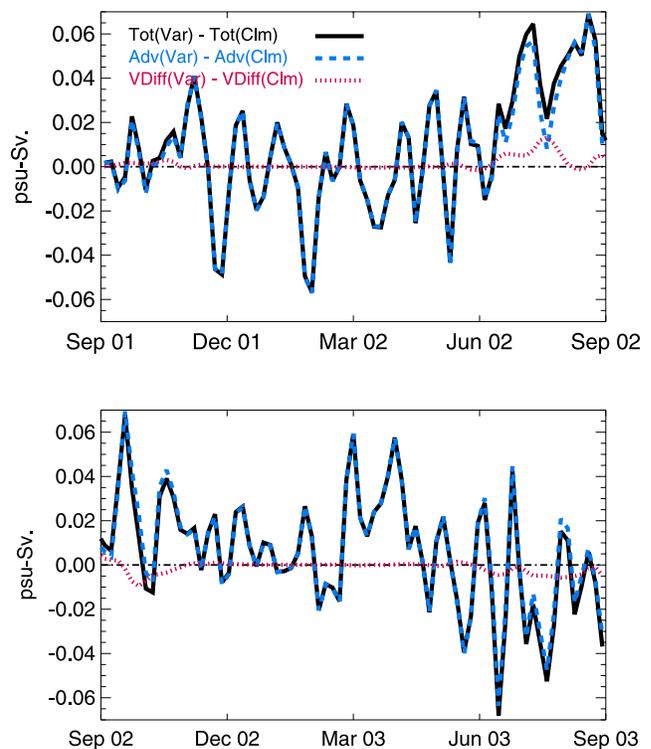


Figure 11. Difference in salinity flux balance terms between the VARICE and CLMICE simulations for the volume below 200 m in the area defined by the HSSW box in Figure 3. Note that one pass of a 1-2-1 smoother has been applied to each difference term.

Summer (20m, CLMICE)

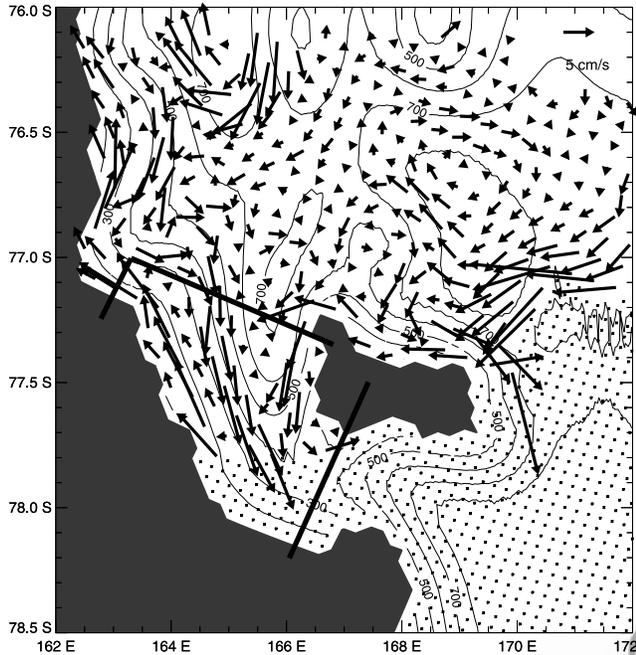


Figure 12. Model circulation at 20 m averaged over January and February for both years for the CLMICE case. The box represents the area over which the averages in Figures 15 and 16 are calculated. Black areas are land masked points and dotted areas represent ice shelf covered water points.

578 of warm water from the Ross Sea Polynya into the eastern
579 sound (see below).

580 [34] There is little stratification below the surface mixed
581 layer, and therefore much of the flow in the model is
582 barotropic and follows the bathymetry. As a result, changes
583 in water column thickness lead to circulation changes. In the
584 ICEBERG simulation, the iceberg north of Ross Island
585 partially blocks the westward flow of water from just north
586 of the ice shelf. The summer surface circulation (Figure 13)
587 still shows a current entering the eastern part of McMurdo
588 Sound from north of Ross Island. However, this current is
589 weaker now and the water in it follows a much different
590 path and is further removed from the waters just north of the
591 ice shelf.

592 [35] Climatologies of satellite SST observations [see
593 *Dinniman et al.*, 2003, Figure 6b], show that the surface
594 water north of Ross Island in summer is generally warmer to
595 the east in the Ross Sea polynya. In mid-January 2003 in the
596 CLMICE simulation, strong flow advects relatively warm
597 surface waters into the eastern side of the sound
598 (Figure 14a). The temperature in McMurdo Sound is
599 slightly cooler for the ICEBERG case (Figure 14b) than
600 the VARICE case (Figure 15), suggesting that advection of
601 heat from the open Ross Sea into McMurdo Sound has been
602 reduced by the grounded icebergs. Note that the imposed
603 sea ice cover used to calculate the surface fluxes over the
604 sound is the same for these two simulations which isolates
605 the advective effects as the primary driver of the difference
606 in the temperature in the sound. However, both the VARICE

case and the ICEBERG case are much cooler than the 607
CLMICE case (Figure 14). The average temperature of 608
the top 50 m of the water in McMurdo Sound during austral 609
summer of 2002–2003 (Figure 15) shows that while the 610
VARICE case is only slightly warmer than the ICEBERG 611
case, the CLMICE case is significantly warmer than both. 612
Of course, much of the higher temperature for the CLMICE 613
case is due to the lower ice concentration that is directly 614
imposed over the Sound. However, external effects are also 615
important in determining the difference in temperature 616
between the cases. A heat flux term balance calculation 617
for the region shows that the advective heat flux (Figure 16) 618
into the area in summer is greatest for the CLMICE case and 619
only slightly larger for the VARICE case compared to the 620
ICEBERG case. The advective volume flux is about zero 621
(the 50 m depth over which the fluxes are calculated moves 622
with the free surface), and thus the difference in advective 623
heat flux for these three cases indicates that significantly 624
warmer water is advected into the sound during summer 625
when the Ross Sea Polynya is open and that the presence of 626
the iceberg also somewhat reduces the advection of warm 627
water into the Sound. The difference in the total advected 628
heat over the summer of 2002–2003 would lead to a 629
difference in the average temperature of 0.32°C between 630
the CLMICE case and the ICEBERG case, and a difference of 631
only 0.06°C between the VARICE case and the ICEBERG 632
case. In the model the advection of Ross Sea Polynya heat 633
into the area is a significant part of the summer heat budget. 634
635

4. Discussion and Conclusions

[36] The scientific literature has competing ideas about 637
the importance of surface winds versus the advection of 638

Summer (20m, ICEBERG)

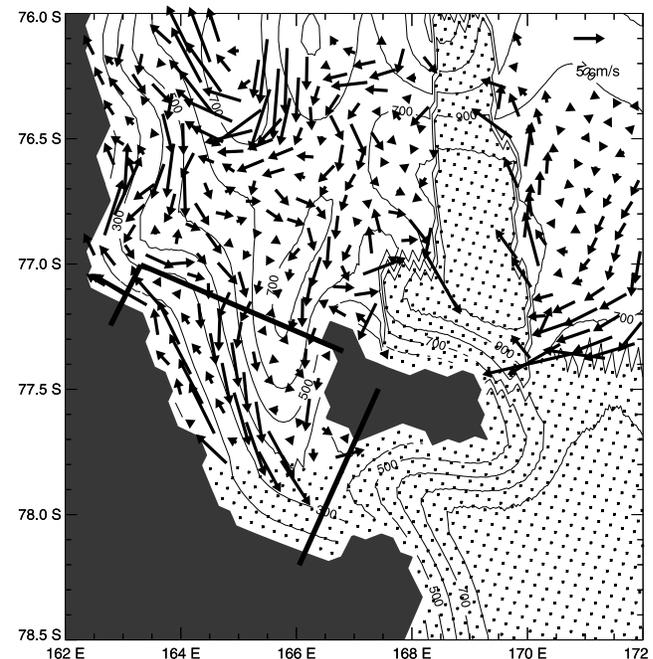


Figure 13. Model circulation at 20 m averaged over January and February for both years for the ICEBERG case.

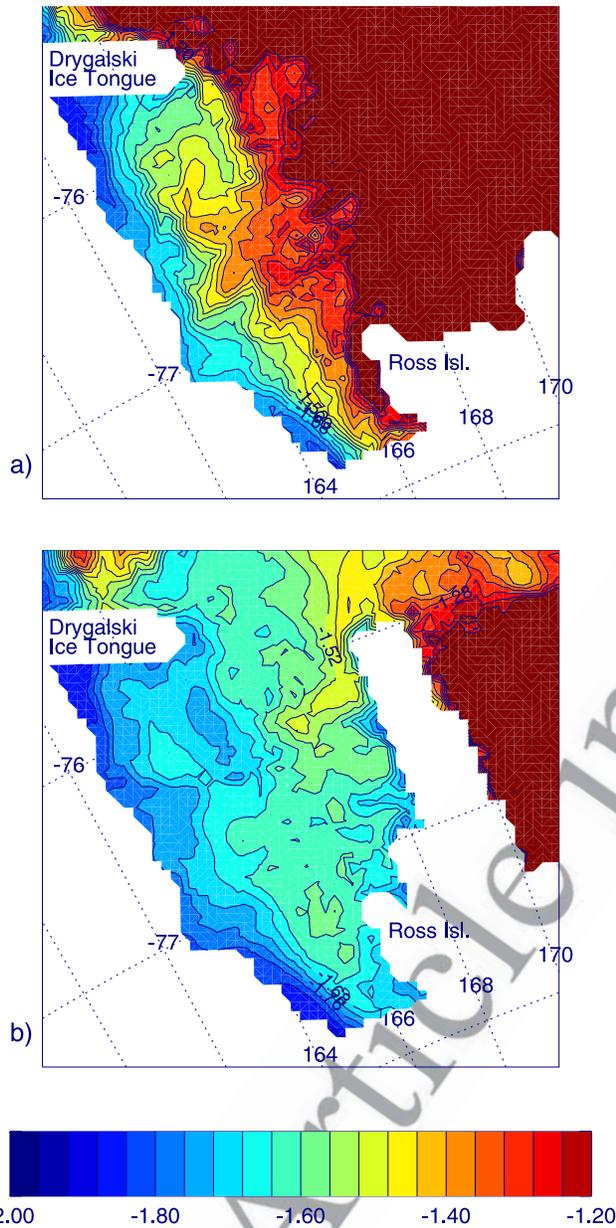


Figure 14. Model temperature ($^{\circ}\text{C}$) at 30 m in mid-January 2003 for the (a) CLMICE case and (b) ICEBERG case.

639 warm water from below in reducing the sea ice concentra-
 640 tion in the Ross Sea Polynya. Our model does not have
 641 active sea ice, but it produces reasonable SSTs in the
 642 polynya so the heat budget is informative. Surface terms
 643 are the primary factor in the heat budget, but advective and
 644 vertical diffusive terms do indeed play a significant role,
 645 lending support to the idea that CDW intrusions are impor-
 646 tant. A coupled dynamic sea ice, ocean circulation model
 647 for the Ross Sea is in development which should be able to
 648 give more definitive answers on the relative importance of
 649 different surface forcings in the appearance and mainte-
 650 nance of the Ross Sea Polynya.

651 [37] Simulating the highly variable sea ice conditions in
 652 2001–2003 by imposing them on the model did show
 653 several interesting effects that were due to the changing

sea ice. For example, the reduced opening of the Ross Sea
 Polynya in austral summer 2002–2003 reduced the basal
 melting over a full year across the entire Ross Ice Shelf by
 12% due to the reduction of warm surface waters from the
 polynya flowing under the shelf.

[38] The highly variable sea ice also had an effect on the
 creation of high salinity water. An area in the western Ross
 Sea where HSSW has been observed to form shows an
 increase in HSSW starting in winter 2002 in the VARICE
 simulation when compared to the CLMICE case. Some of
 this increase is due to the ice concentrations in the imme-
 diate area. The VARICE simulation had a reduced surface
 flux of fresh water at several times from September 2001
 through April 2002. This relative increase in salinity
 becomes apparent in the HSSW the following winter when
 the wintertime convection is strong enough for this signal to
 affect the deeper water. However, much of the extra salinity
 in the region in the VARICE case was due to advection from
 outside the area.

[39] The idea that interannual changes in HSSW forma-
 tion on the shelf are more a result of remote rather than local
 processes has been previously suggested. *Jacobs et al.*
 [2002] reported a “substantial decrease in shelf water
 salinity” over a 40 a period based on repeated measure-
 ments taken north of Ross Island and hypothesized that the
 likely sources of freshening for the water on the continental
 shelf were waters imported onto the shelf by the coastal
 current and from the southern edge of the Ross Gyre.
Assmann and Timmermann [2005] used a moderate resolu-
 tion (≈ 35 km at Ross Island) circumpolar model (BRIOS)
 to study the variability of dense water formation in the Ross
 Sea. They suggested that part of the interannual decrease in
 the salinity found by *Jacobs et al.* [2002] was an aliasing
 artifact due to undersampling of periodic behavior, but these
 variations were predominantly due to changes in the salinity
 and temperature of the inflowing water that originated in the
 Amundsen and Bellingshausen Seas. *Budillon and Spezie*
 [2000] used data from three summer cruises in the Terra
 Nova Bay area to posit that the deep waters in this part of
 the Ross Sea may be affected more by “variations in the

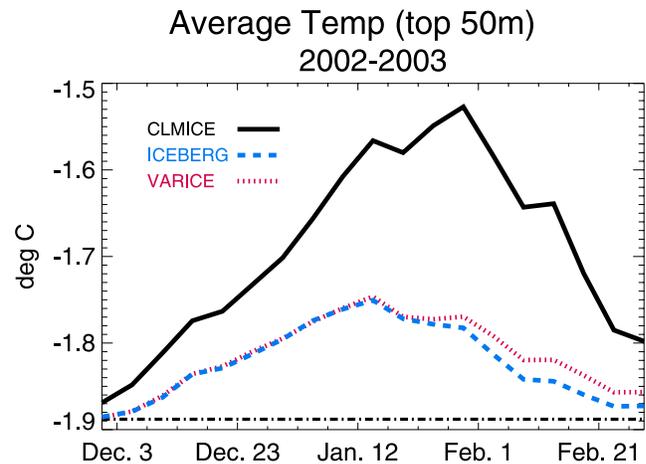


Figure 15. Average temperature ($^{\circ}\text{C}$) over the top 50 m in McMurdo Sound during summer 2002–2003 for the three simulations. The straight dash-dotted line near the bottom represents the surface freezing point.

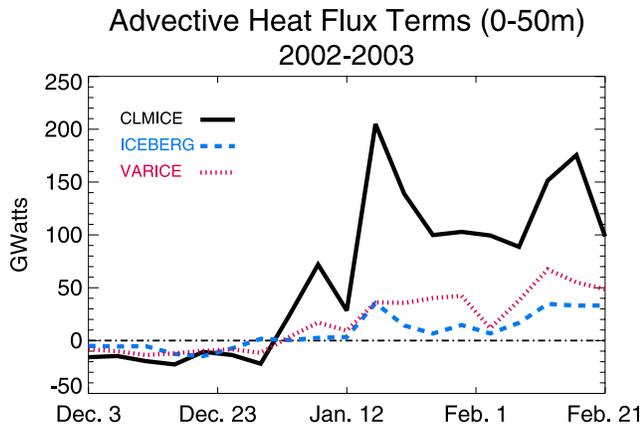


Figure 16. Advective heat flux term over the top 50 m in McMurdo Sound during summer 2002–2003 for the three simulations.

694 large-scale oceanic current than by local oceanic or atmo-
 695 spheric processes providing a different preconditioning of
 696 the water column for the winter vertical convection during
 697 the HSSW formation phases.”

698 [40] During the austral summers of 2001–2002 and
 699 2002–2003, there was unusually extensive sea ice in
 700 McMurdo Sound. The fast ice in McMurdo Sound was
 701 more extensive than ever recorded, and although the pack
 702 ice in the western part of the sound was typical, there was
 703 much more pack ice than usual in the eastern part. In a
 704 typical year, the annual sea ice begins to form in March or
 705 April and continues to thicken until November or December,
 706 when it reaches an average thickness of 2 m [Leventer *et al.*,
 707 1987; Gow *et al.*, 1998]. The edge of the fast ice then
 708 retreats southward until mid-February when much of the
 709 remaining ice breaks out. Measurements show that the fast
 710 ice in the western sound does not thin appreciably prior to
 711 its breakout [Mitchell and Bye, 1985; Leventer *et al.*, 1987].
 712 However, Leventer *et al.* [1987] showed that the fast ice in
 713 the eastern part of the sound melted from 1.5 to 0.7 m before
 714 breakout. This thinning is thought to be due primarily to
 715 bottom melting from relatively warm currents with only
 716 minimal melting at the top [Gow *et al.*, 1998]. The differ-
 717 ence in heat flux advected into the top 50 m of the eastern
 718 half of the McMurdo Sound model box over the summer of
 719 2002–2003 between the CLMICE and ICEBERG cases is
 720 enough to melt an average thickness of 24 cm of ice. This
 721 decline in basal melt due to B-15A and (primarily) the late
 722 opening of the Ross Sea Polynya due to C-19 is significant
 723 and would lead to increased strength of the land-fast ice and
 724 delayed breakout in summer.

725 [41] If the smaller Ross Sea Polynya in austral summer
 726 2002–2003 contributed significantly to the ice conditions in
 727 McMurdo Sound, then one would expect to see more ice in
 728 the Sound (or a later breakout of the fast ice) in early 2003
 729 than in early 2002. This increase appears in the SSM/I data,
 730 but at 25-km resolution, it is difficult to have confidence in
 731 the values inside McMurdo Sound. Higher resolution (ef-
 732 fective resolution of 4 km) sea ice extent images computed
 733 from QuikSCAT data [Redmund and Long, 1999] on 5
 734 February for several years (Figure 17) show more ice in
 735 McMurdo for both of the years (2002 and 2003) when the

icebergs were present than in 2000. However, in 2003, even
 when the Ross Sea Polynya had finally opened, there was
 still a great deal of sea ice just west of the icebergs.

[42] Iceberg B-15A had an effect on the circulation,
 temperature, and salinity in the McMurdo Sound region.
 While we cannot simulate all possible effects of the iceberg
 (e.g., advection of pack ice into the sound, changes in the
 local winds due to the iceberg), the model does show that
 the iceberg changes the circulation and results in less heat
 being advected into the sound. However, a bigger effect on
 the heat advected into the sound was due to iceberg C-19
 and its reduction of the size of the Ross Sea Polynya, thus
 reducing the amount of warm surface water available to
 advect into the sound. This iceberg may also have restricted
 the advection of sea ice out of McMurdo Sound, but we
 cannot simulate that effect with this model.

[43] The extensive sea ice in the Sound disrupted breed-
 ing at one of the largest Adélie penguin (*Pygoscelis adeliae*)
 colonies by making it difficult for the birds to return from
 their feeding grounds in open water [Arrigo *et al.*, 2002].
 Observations in McMurdo Sound also showed that the
 pteropod *Limacina helicina* was absent for the first time
 on record [Seibel and Dierssen, 2003]. It was hypothesized
 that its absence was due to either food limitation or changes
 in local currents caused by the grounding of B-15A that
 prevented the phytoplankton bloom and associated pteropod
 populations from being advected into McMurdo Sound
 from the open waters of the Ross Sea. While the tempera-
 ture changes shown by the model are not great enough to

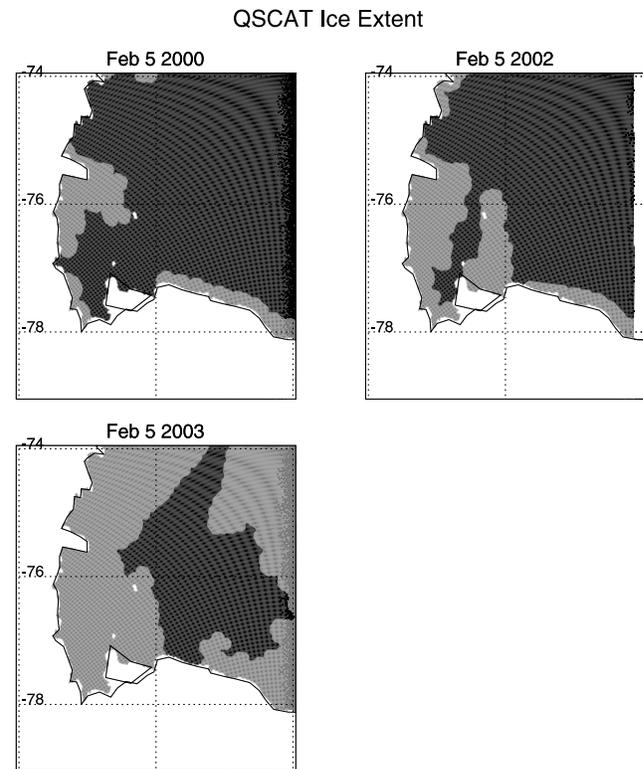


Figure 17. Sea ice extent from QuikSCAT for the southwest Ross Sea on 5 February 2000 (pre-B15A), 2002, and 2003. The sea ice edge here is comparable to the 30% ice concentration for SSM/I data.

- 765 influence biological processes significantly, changes in the
 766 concentration and distribution of ice directly impact phyto-
 767 plankton growth via irradiance limitation (ice and snow
 768 cover reduce in situ irradiance by up to 99% of surface
 769 photon fluxes). We speculate that the icebergs also reduced
 770 the area's phytoplankton growth and biomass by reducing
 771 advective input of organic matter from the Ross Sea proper.
 772 Such reductions could influence the entire ecosystem and
 773 ultimately result in unpredictable changes to the food web.
 774 Despite suggestions of such changes [Seibel and Dierssen,
 775 2003], no clear food web manifestations have yet been
 776 demonstrated, although physical disruption of migration
 777 patterns of megafauna have been observed [Arrigo et al.,
 778 2002].
- 779 [44] **Acknowledgments.** David Holland was particularly helpful with
 780 some of the issues related to the ice shelf modeling. Comments from the
 781 editor and three anonymous reviewers were very helpful in improving the
 782 manuscript. The BEDMAP data was provided courtesy of the BEDMAP
 783 consortium (<http://www.antarctica.ac.uk/aedc/bedmap/>). Ice extent images
 784 are courtesy of David G. Long at Brigham Young University, generated by
 785 the Scatterometer Climate Record Pathfinder project from data obtained
 786 from the Physical Oceanography Distributed Active Archive Center.
 787 Computer facilities and support were provided by the Commonwealth
 788 Center for Coastal Physical Oceanography. This work was supported by
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- M. S. Dinniman and J. M. Klinck, Center for Coastal Physical 986
Oceanography, Old Dominion University, 4111 Monarch Way, Norfolk, 987
VA 23508, USA. (msd@cepo.odu.edu) 988
W. O. Smith Jr., Virginia Institute of Marine Science, College of William 989
and Mary, Gloucester Point, VA 23062, USA. 990